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December 30, 1999

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: Docket No. 9D-EC-19319 - Pennisi Jr.

Sir:

Herewith I hand you specification and drawings, 5 sheets, for an application for Letters Patent upon an improvement in:

CAPACITY MONITORING PROCESS FOR A GOODS DELIVERY SYSTEM

the invention of: Frank J. Pennisi, Jr.

Basic Fee	\$ 760.00
Additional Fees:	
Multiple dependent claims (\$260)	0
Total number of claims in excess of 20, times \$18	\$ 504.00
Number of independent claims minus 3, times \$78	\$ 78.00
Total Filing Fee	\$1342.00
Assignment Fee	40.00
TOTAL	\$1382.00

Enclosed is a check for \$800.00 to cover the basic filing fee and assignment fee listed above. The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any over-payment, to Account No. 07-0844. This does not authorize charging any subsequent fees to the Deposit Account.

Respectfully,

George L. Rideout, Jr.
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Enclosures

12/30/99
jc662 U.S. PTO

jc511 U.S. PTO
09/475962
12/30/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Frank J. Pennisi, Jr.

Docket No.: 9D-EC-19319

Title: CAPACITY MONITORING PROCESS FOR A GOODS DELIVERY SYSTEM

Honorable Commissioner of Patents and Trademarks,
Washington, DC 20231

SIR:

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: December 30, 1999

I hereby certify that an Application (25 pages), Informal Drawings (5 sheets), and a Combined Declaration and Power of Attorney are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the Date of Deposit indicated above, addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

REGINA MARTIN

Typed or printed name of person mailing application

Regina Martin

Signature of person mailing application

Respectfully submitted,

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CAPACITY MONITORING PROCESS FOR A GOODS DELIVERY SYSTEM

RELATED APPLICATIONS

This application is related to co-pending US Patent application, 9D-EC-19310, Serial No. (___/___,___), entitled "Internet Based Goods Delivery System",
5 filed on December __, 1999, assigned to the assignee of the present invention, and herein incorporated by reference. This application is also related to co-pending US Patent application, 9D-EC-19335, Serial No. (___/___,___), entitled "Delivery Management System", filed on December __, 1999, assigned to the assignee of the present invention, and herein incorporated by reference.

10 BACKGROUND OF THE INVENTION

This invention relates to a capacity tracking system and more particularly to a Internet based goods delivery capacity tracking system.

At least one other company has employed an Internet based goods delivery system wherein the goods can be ordered from one particular store and delivered to a designated buyer's address. For example Home Depot Company has employed an Internet based appliance delivery system for more than a year. This system enables a buyer to place an Internet based order for a branded good, and the respective good be delivered to the buyers designated address. Once the order is placed the order is sent to a respective delivery agent via the Internet. The respective
15 delivery agent then delivers the respective good. As such, this good delivery system is an order fulfillment system. This system has a number of disadvantages, including, for example: the system could not automatically generate order reschedules resulting from "refusals", "cancellations", "damaged" goods, "suspends", and "shorts"; nor is there
20 ability to modify delivery capacity based on capacity utilization feedback.

25 Delivery management systems are known that provide Internet based delivery of standard sized packages, one particular example is the FEDERAL

EXPRESS® goods delivery system. In this specification non-standard sized packages are packages that are not delivered by air carriers and mail delivery services, and typically are packages that weigh over about 100 lbs. (45 kg). The delivery of non-standard size packages generally requires a delivery agent who is equipped to ship and install the delivered goods. For example, there currently exists a plurality of appliance delivery services that receives the appliance from the appliance manufacturer, delivers and installs the appliance after the purchaser has ordered the appliance from a local appliance store. This entire operation is manually executed based on a delivery manifest. The delivery manifest is typically a document identifying the delivery agent's goods shipment schedule.

It is desirable to provide a system wherein delivery and installation capacity can be calculated by geographic area to provide information for feedback to optimize the delivery of goods. It is also desirable to predict future capacity of the goods delivery system. Finally, it is desirable to provide a goods delivery system that can be modified to maximize the delivery efficiency by providing trend line information about whether the capacity utilization is getting better or worse.

BRIEF SUMMARY OF THE INVENTION

Briefly, therefore, this invention provides for a method of determining capacity utilization and predicting the future capacity utilization of a goods delivery system from a supplier to a buyer utilizing a system having at least one delivery agent, at least one store, at least one manufacturer, and a plurality of buyers, wherein the at least one delivery agent, at least one store, and the at least one manufacturer are coupled to a communications network. The method comprises the steps of: getting the zone maximum and used slots for a specified period of time within the zone; determining whether the order can be shipped on the first potential ship date based on the number of available slots; returning the date that the order can be completely delivered to the buyer; and updating the respective capacity utilization matrix for the above specified period after the order has been factored into the available slots. The present invention is also adapted to set a work load utilization flag to over capacity and under capacity

for each day in a zone, predict the probability that the next delivery day will be full based on historical work load capacity utilization, and predict the future capacity utilization trend line based on historical capacity utilization.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is an illustration of the communication interchange between components of the goods delivery system of the present invention;

Figure 2 is an further illustration of an Internet based communications network and associated elements illustration in Figure 1;

Figure 3 is a process flow diagram of the delivery management system which utilizes the present capacity monitoring process of the present invention;

Figure 4 is an process flow diagram of the capacity monitoring and prediction system of the present invention; and

Figure 5 is an alternative embodiment of the process flow diagram of the capacity monitoring system of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

Now referring to Figures 1 and 2, which illustrate the major components comprising goods delivery system 100, wherein like reference numbers identify like elements, goods delivery system 100 comprises components that cooperate in a process that integrates logistical supply chain parties by utilizing the Internet, commercially available scanners, and Internet based programs. The system creates the capability for a product distribution supplier to seamlessly interact with sellers of the supplier's products and suppliers to the sellers and buyers. Each supplier to the seller, and alternatively, to the buyer, is hereinafter defined as a delivery agent 212. All parties of the above identified logistical supply chain may execute roles and responsibilities while minimizing human interaction between the parties.

Goods delivery system 100 comprises at least one supplier 152, at least one delivery agent 212, a logistics intermediary 154, at least one store 158, and communications network 160. Additionally, a respective buyer 156 can interface with goods delivery system 100. Buyer 156 typically places an order at a respective store 158 requesting a desired good to be shipped, a desired delivery date, and a desired installation service. At each store 158 delivery agent 212 and supplier 152 there is at least one computing unit A 169, which is coupled to a computing unit B 167 via communications network 160. In one embodiment, communications network 160 comprises a Internet based communications link 171 and a web server 163.

Communications link 171 may use audio and alternatively fiber optic communications means to support server 163 based communications. In one embodiment computing unit A 169 and computing unit B 167 communicate using the Transmission Control Protocol/Internet Protocol (TCP/IP). Server 163 is typically a Internet based server which interfaces with a plurality of browsers so as to effect Internet based communications. One exemplary server is the Netscape Application Server NAS. Computing unit A 169 and computing unit B 167 comprises a respective browser. One exemplary browser is the MICROSOFT INTERNET EXPLORER 4.0™. Computing unit A 169 may also comprise a commercially available display 165 and a commercially available scanner 159. Scanner 159 also has a scanner display 161, a keyboard 173, and is adapted to interface with computing unit A 169.

In an exemplary embodiment the server may be accessed by a respective computing unit A 169 and computing unit B 167 by providing a web address having a registered Uniform Resource Locator (URL) hyperlink “www.geappliances.com” and by providing an associated password on most commercially available web browsers.

Computing unit B 167, located at logistics intermediary 154, houses the software which comprises the above referenced delivery management system and the capacity monitoring and prediction system of the present invention. It is noted computing unit B 167 may be located at any component of the goods delivery system, including, for example, store 158, delivery agent 212, and supplier 152. Computing unit B 167 provides a delivery management control process that effectuates control and enables information storage for goods delivery system 100. Additionally the delivery

management system and the capacity monitoring and prediction system of the present invention may comprise a computer program storage medium readable by a computer system and encoding a computer program of instructions for executing a computer process for tracking and predicting the capacity utilization of a goods delivery system.

5 The delivery management system facilitates the scheduling of all deliveries from supplier 152 to buyer 156 by delivery agent 212, based on the delivery date selection at the point-of-sale. The point-of-sale may, by way of example and not limitation, be respective store 158, respective supplier 152, respective delivery agent 212, or respective buyers delivery address. Copending US Patent application 9D-EC-10 19310, (Serial No. __/_____) provides details of the Internet based goods delivery system. Copending US Patent application 9D-EC-19335, (Serial No. __/_____) provides details of the delivery management system.

 A delivery management system block diagram 200, as illustrated in Figure 3, provides the process that controls the goods delivery system described in co-15 pending US Patent application, 9D-EC-19335 (Serial No. __/_____. Delivery management system 200 facilitates the scheduling of all deliveries from suppliers, to delivery agent locations, then to the buyer or store regardless of good supplier while allowing for delivery date selection at the point-of-sale. Scheduling is performed by day at a zip code and alternatively at a zip group level.

20 The following benefits are realized with the present invention. The user has the ability to set workload capacity by geographic area to insure successful delivery on the selected delivery date chosen at the point-of-sale. The user has the ability to segregate geographic areas and allow for “sharing” of delivery slots to maximize utilization of the delivery agent’s total capacity. The buyer has the ability to buy 25 various branded goods from a plurality of suppliers. The delivery agent may tailor workload capacity by day of the week and has the ability to limit delivery capacity on holidays and special events. Additionally, the delivery agent may schedule deliveries and assign delivery vehicles as desired to maximize productivity. The user is defined in this specification to be delivery agent 212, store 158, logistics intermediary 154,

supplier 152, buyer 156, and any agent acting in the capacity as the user in the identified list. For example, the agent may be a manager, a representative, or a viewer of the information only.

5 A supplier ship schedule defines the daily frequency that supplier 152
will ship goods to each respective delivery agent 212. For example, respective supplier
152 may ship goods to respective delivery agent 212 every Monday, Wednesday, and
Friday. This schedule also includes a delay feature which accounts for the time interval
from arrival at the delivery agents dock through the time that the good is available to
10 be shipped to buyer 156. This delay feature, in effect, is a variable that can be
modified to account for transit time and unloading time from supplier 152 to delivery
agent 156. Table 1 illustrates one exemplary supplier ship schedule. The first row
identifies the day the order is processed, which in this example can occur on any day
from Monday through Sunday. The next row identifies the day the order is shipped
from supplier 152. In this case it is based on a Tuesday and Thursday ship schedule
15 and incorporates a 24 hour order processing delay. As such, for example, a Monday
order will ship on Tuesday and a Tuesday order will ship on Thursday. Row three
identifies the day the order arrives at the respective delivery agent's location. In this
example 48 hours of delay are added to allow for transit time. Additionally, row four
is the delay before the good is available for shipping from respective delivery agent 212
20 to buyer 156. As such, in this example, a Monday order will be available for shipment
to buyer 156 on Friday and a Tuesday order will be available for shipment on the
following Tuesday. It is understood that any shipping schedule may be used. Supplier
152 typically generates a unique shipping schedule for each delivery agent 212. The
respective supplier ship schedule is loaded into electronic manifest 218 and can be
25 modified at any time by respective supplier 152.

Table 1 Supplier Ship Schedule

Order	Mon	Tue	Wed	Thu	Fri	Sat	Sun
Ship from Supplier	Tue	Thu	Thu	Tue	Tue	Tue	Tue
Arrive at delivery agent	Thu	Mon	Mon	Thu	Thu	Thu	Thu
Delay	Fri	Tue	Tue	Fri	Fri	Fri	Fri

A delivery agent capacity matrix defines the delivery capacity and schedule for a defined delivery area to be served by delivery agent 212. Delivery agent 212 will have generated a capacity matrix residing in electronic manifest 218 for each zone associated with the delivery agent's delivery area. The delivery area is identified as at least one zone in a market in this specification. A market is an area that typically is defined by a metropolitan area. For example, the United States has a plurality of metropolitan areas, wherein each metropolitan area is associated with a city. It is understood that any markets, zones, and zip groups may be defined for any country in the world. A zone is the broadest geographical area of a delivery agent's territory and comprises a zip group, the zip group comprising at least one zip code. Within the territory of delivery agent 212 there is at least one zone. Within each zone there is at least one schedule type. Delivery agent 212 may choose to select and arrange zip groups so as to be able to vary service levels and vehicles or work crews. For each zip group within a zone, the delivery agent designates a maximum number of delivery slots for each delivery day, called the zone maximum, each delivery slot representing the respective delivery agent's delivery capacity for the day. For example, Table 2 is an illustration of one exemplary delivery agent capacity matrix for a zone. Row one identifies the day of the week. Row two identifies the zone maximum for each respective day. In this example the zone maximum number is 30 representing the total

number of slots the delivery agent's vehicles can deliver in a day in the respective zone. For example, if this delivery agent has 3 vehicles and each vehicle can delivery 10 slots, then the delivery agent can deliver a total of 30 slots.

Table 2 Delivery agent capacity matrix for a zone

	Mon	Tue	Wed	Thu	Fri	Sat	Sun
Total slots for zone	30	30	30	30	30	10	0
Zip group 1 slots	30	30	30	30	30	0	0
Zip group 2 slots	30	0	30	0	30	0	0
Zip group 3 slots	0	10	0	10	0	10	0

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Figure 3 illustrates the process of the delivery management system of which is utilized by the present invention. First a delivery date is requested for a respective brand of good, indicated in step 220. Next the zip code of the delivery address of the good is retrieved, step 222. Next, the zone of the delivery is determined from the zip code, step 224. The zone of the delivery also identifies the delivery agent that is selected. The brand of the good is used to identify the supplier ship schedule to be used. Next, the first potential arrival date of the order to the selected delivery agent is calculated, step 226. It is noted that if the order comprises more that one brand then

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the supplier ship schedule which represents the least delivery frequency is selected. Next, the number of slots to be used is calculated, step 228. Next, the delivery agent's change in workload for the potential arrival date is calculated based on the order size, step 234. Next, a determination is made as to whether delivery agent 212 has the capacity to ship the order on the identified date, step 232. If delivery agent 212 has the capacity, the potential arrival date is identified as the delivery date, step 236. And finally, the electronic manifest is updated to include the shipment, step 238. If the capacity of delivery agent 212 cannot accommodate the order the next ship date is selected, step 230. The workload utilization is recalculated utilizing the 100 % workload of the previous date and the workload of the newly selected date, step 234. These steps are repeated until the complete order can be delivered, step 232. When the complete order can be delivered the potential arrive date is selected as the delivery date, step 236 and the electronic manifest is updated as before, step 238. The process identified by steps 230, 232, and 234 is further expanded to include the process of determining the capacity utilization and predicting the future capacity as defined by the present invention, process step 301.

The process of determining the capacity utilization and predicting the future capacity is illustrated in Figure 4. First, consider the additional detail provided in the capacity utilization matrix of Table 3, which is a further illustration of the capacity matrix illustrated in Table 2. Table 3 shows one example of a capacity utilization matrix of a zone for a given period of time, in this example the time period is a month. The date identifies the day. The zone max header, also identified as the zone maximum, indicates the maximum number of slots allocated to the specified day. The reserved header identifies the number of slots reserved that cannot be utilized. And the used slots header identifies the number of slots that have been utilized. Reserved slots are defined as those slots set aside as being used by delivery agent 212 which would otherwise be available slots. Store 158 may set reserve slots to hold otherwise available slots. Reserved slots are treated as used slots in the present invention.

Table 3 Capacity utilization matrix by month for a specified zone

	Mon	Tue	Wed	Thu	Fri	Sat	Sun
						1	2
Date	3	4	5	6	7	8	9
Zone max	30	30	30	30	30	0	0
Reserved	0	0	0	0	0	0	0
Used slots	0	0	0	0	0	0	0
	10	11	12	13	14	15	16
	17	18	19	20	21	22	23
	24	25	26	27	28	29	30
	31						

The start block, step 302 of Figure 4, indicates that the capacity calculation is generally executed only two times each day, at time A and at time B. For example time A may be at about 12:00 p.m. eastern time in the United States and time B may be at about 5:05 p.m. eastern time in the United States. Time A was selected to insure that there is a intermediate capacity utilization update during the time that the system is operational. Time B was selected so that a system wide capacity utilization calculation may be made after all electronic manifest updates are made for a given day.

A number of the workload calculation process steps are an integral part of delivery management system 200 (Figure 3) but for the sake of logical continuity will be described herein. Market manifest information is obtained for a respective order, which minimally includes the order, model, the brand, the zip code, and the quantity, steps 220, 222 of delivery management system 200. Next, the zip group is

determined, step 224 of delivery management system 200. Next, the first potential arrive date of the delivery agent is determined, step 226 of delivery management system 200. Next, the number of used slots and the number of reserved slots is subtracted from the zip group maximum for the potential arrive date, which calculation results in the number of available slots. The number of available slots is compared with the number of slots in the order, step 310 of Figure 4. If the number of slots in the order is greater than the available slots a workload signal, which represents the percent utilization of the zip group for that day, is set to 100 %. The next possible delivery date is next obtained, step 230 of delivery management system 200, then step 310 is repeated. If the workload is not greater than or equal to 100 % the workload is updated to include the additional workload utilized as indicated the following equation: $\text{workload} = (\text{last workload} + (\text{number filled slots}) \div (\text{zip group maximum}))$. The number of filled slots includes the number of available slots plus the number of slots in the order.

Next the last ten days of workload data for the zip group is obtained. From these ten days the days wherein the workload was greater than or equal to 100 % are selected, step 320. If the sum of the selected days greater than or equal to 100 % is greater than about 700 % an over capacity flag is set to true for the day the test is performed, steps 322 and 328. If the workload of 5 of the last 10 days is less than about 50 % an under capacity flag is set true for the day on which the test is performed, steps 324 and 326. As such, on a rolling bases any day may have associated with it a over capacity flag or an under capacity flag. It is understood that any other set of numbers to determine over capacity and under capacity may be utilized and that the numbers presented are but one exemplary illustration. Next, a determination is made as to whether the delivery area has any more zip groups, step 330. If the delivery area has another zip group the next zip group is obtained, step 224, and the above described process is repeated. If there is at least one additional market the entire above described process is repeated on each market over the United States, step 332.

An alternative embodiment of the process steps for determining capacity utilization is illustrated in Figure 5. In this embodiment rather than workload for all markets being calculated at fixed intervals, the workload of the zone is calculated at the time the order is placed and stored in the electronic manifest. Also a range of possible delivery dates are provided rather than a single delivery date. First, a deliver date request is made, step 220. Next, the zip code is obtained for the order, step 222. Next, the delivery location, delivery agent 212, and supplier 152 is determined, step 224. Next, the first potential arrival date is determined, step 226, as described earlier. Next, the set of all delivery dates from the first potential arrival date to the requested date is determined (inclusive), step 402. Note step 402 includes all possible delivery dates that have less than 100 % workload utilization by zone, but does not include historical dates, i.e., dates in the past. Next, a check is performed to see if the order can fit in each one of the set of delivery dates, and those dates that do not have sufficient workload are identified as a "NO", steps 404 and 406. Next, for each day labeled a "NO" 100 % is added to the previous workload value and the workload is calculated for the next ship day using the equation, $\text{workload} = \text{last workload} + \text{filled slots/zone maximum}$. If there were no days identified with "NO" then the workload is calculated using the equation $\text{workload} = \text{last workload} + \text{filled slots/zone maximum}$, steps 314 and 318. Next, the zone workloads are stored in the electronic manifest, step 408. The process is repeated for the zip groups as illustrated in steps 410 and 412. As such, two sets of numbers are provided to the electronic manifest the zone workload and the zip group workload. The Delivery Management System identified in copending patent application, 9D-EC-19335 (Serial No. ____/_____) may use this method of determining capacity utilization.

The next process function is to calculate the future predicted capacity utilization, step 340 of Figure 4. First, the last 7 and the last 30 days of workload signals are obtained, step 334. For this calculation an over capacity condition for a specified day is defined as the condition where the workload is greater than or equal to 100 %. A mean over capacity calculation and a variance is calculated using standard distribution equations, based on the last 30 days of workload values. In this example, a standard binomial probability distribution tables is utilized to provide the probability

that the workload for the next day will be over capacity, given the last 30 days of over capacity conditions, step 336.

$$\text{Probability } (X=1) = b(x; n; p) = b(1; 30; p)$$

$$n = 30$$

$$5 \quad p = (\# \text{ of over capacity days in } 30)$$

$$q = (1-p)$$

$$Z = \frac{X - np}{\sqrt{npq}}$$

$$X = 1 \text{ (i.e. one occurrence of over capacity)}$$

10 Where $b(x; n; p)$ is the input to a binomial probability distribution and the Probability $(X=1)$ is the probability that there will be one occurrence of over capacity given the histogram of over capacity conditions for the last 30 days. It is understood that future under capacity calculations may also be made by substituting the under capacity flag for the over capacity condition for the process described above and that any period of time may be used rather than the 30 day period used in this example. It is also understood that other distributions may be used such as a normal distribution and a "T" distribution. It is also understood that the above calculations may be performed on data covering a 7 day period.

20 Next, a trend line may be established indicating whether the capacity utilization for a fixed period is increasing or decreasing. A trend line of the capacity utilization is defined as a regression line resulting from a plot of the workload values over a given period of time, in this example thirty days. In one exemplary embodiment a determination is made as to whether the slope of the capacity utilization regression line for the last seven days is increasing or decreasing relative to zero, within about a 95 % confidence interval. The statistically significant increasing capacity utilization is defined when the slope of the regression line for the last thirty days + 1.96 multiplied

by the standard deviation of the thirty day regression line slope is greater than zero. The statistically significant decreasing capacity utilization is defined when the slope of the regression line for the last thirty days + 1.96 multiplied by the standard deviation of the thirty day regression line slope is less than zero, step 338. The future capacity prediction and trend line prediction for each zone is stored in electronic manifest 218. It is understood that the above calculations may be made over any time frame including a 7 day time frame.

The capacity probability and capacity trend line information may then be accessed by delivery agent 212 who can reallocate the number of slots based on this predicted future capacity utilization to insure that there is sufficient capacity in his delivery area. Additionally, logistics intermediary 154 can add or subtract delivery agents in metropolitan areas where there is an resource imbalance.

It will be apparent to those skilled in the art that while the invention has been illustrated and described herein in accordance with the patent statutes, modifications and changes may be made in the disclosed embodiments without departing from the true spirit and scope of the invention. It is, therefore, to be understood that the appended claims are intended to cover all such modifications and changes as fall within the true spirit of the invention.

WHAT IS CLAIMED IS:

1. A method of tracking and predicting the capacity utilization of a goods delivery system, the system having at least one delivery zone, each delivery zone having a capacity utilization matrix comprising a plurality of slots, the goods delivery system providing a respective first potential delivery date, a respective order, and the number of slots the respective order will fill, said method of tracking capacity utilization comprising the steps of:

getting a respective zone maximum and a respective number of used slots for a specified period of time within the respective delivery zone;

determining whether the respective order can be shipped on the first potential ship date based on the number of available slots, wherein said respective number of available slots is equal to said respective zone maximum minus said respective number of used slots;

returning a respective date that the respective order can be delivered; and

updating the respective capacity utilization matrix for the above specified period after the respective order has been included within said respective number of used slots.

2. The method of tracking capacity as recited in claim 1, wherein the step of updating the respective capacity utilization matrix further comprises the step of calculating the workload utilization and storing the result in a workload value for each of said respective slots within the delivery zone.

3. The method of tracking capacity as recited in claim 1, wherein the step of calculating the capacity utilization comprises the step of calculating said respective workload value, wherein said respective workload value = (last workload value + (number of filled slots)÷(zip group maximum)).

4. The method of tracking capacity utilization as recited in claim 3, further comprising the step of setting a respective capacity signal when an over capacity condition and an under capacity condition has been detected.

5. The method of tracking capacity utilization as recited in claim 4, comprising the step of setting a respective over capacity flag after determining that the sum of a set of said preselected workload values are greater than a predetermined over capacity value over a historical period.

6. The method of tracking capacity utilization as recited in claim 5, wherein said predetermined over capacity value is about 700 percent and wherein said historical period is the previous ten days and wherein said over capacity value is a workload greater than or equal to 100 percent.

7. The method of tracking capacity utilization as recited in claim 4, comprising the step of setting a respective under capacity flag after determining that said set of preselected workload values are each less than a predetermined under capacity value over a historical period.

8. The method of tracking capacity utilization as recited in claim 7, wherein said preselected workload value is a workload value less than about 50 percent and wherein said historical period is the previous ten days.

9. The method of tracking capacity utilization as recited in claim 1, further comprising the step of predicting the probability of a future respective used slot being full based on historical over capacity conditions.

10. The method of tracking capacity utilization as recited in claim 9, wherein the step of predicting the probability of a future respective used slot being full further comprises the steps of:

obtaining the workload values for a predetermined period of time; and

determining the probability that the next used slot will meet an over capacity condition using a distribution function;

wherein said over capacity condition is defined as the state when the workload value is greater than or equal to 100 percent.

11. The method of tracking capacity utilization as recited in claim 1, further comprising the step of predicting whether the trend line of the capacity utilization is changing.

12. The method of tracking capacity utilization as recited in claim 11, wherein the step of predicting future capacity utilization further comprises the step of determining that the trend line of the capacity utilization is increasing when the slope of the regression line for a first fixed period of workload values is greater than zero, within a predetermined confidence interval.

13. The method of tracking capacity utilization as recited in claim 11, wherein the step of predicting future capacity utilization further comprises the step of determining that the trend line of the capacity utilization is decreasing when the slope of the regression line for a first fixed period of workload values is less than zero, within a predetermined confidence interval.

14. The method of tracking capacity utilization as recited in claim 12, wherein said first fixed period is seven days and said predetermined confidence interval is about 95 percent.

15. The method of tracking capacity utilization as recited in claim 13, wherein said first fixed period is seven days and said predetermined confidence interval is about 95 percent.

16. The method of tracking capacity as recited in claim 1, wherein said specified period of time is thirty days.

17. A computer program storage medium readable by a computer system and encoding a computer program of instructions for executing a computer process for tracking and predicting the capacity utilization of a goods delivery system, the system having at least one delivery zone, each delivery zone having a capacity utilization matrix comprising a plurality of slots, the goods delivery system providing a respective first potential delivery date, a respective order, and the number of slots the respective order will fill, said computer process comprising the steps of:

getting a respective zone maximum and a respective number of used slots for a specified period of time within the respective delivery zone;

determining whether the respective order can be shipped on the first potential ship date based on the number of available slots, wherein said respective number of available slots is equal to said respective zone maximum minus said respective number of used slots;

returning a respective date that the respective order can be delivered; and

updating the respective capacity utilization matrix for the above specified period after the respective order has been included within said respective number of used slots.

18. The computer process as recited in claim 17, wherein the step of updating the respective capacity utilization matrix further comprises the step of calculating the workload utilization and storing the result in a workload value for each of said respective slots within the delivery zone.

19. The computer process as recited in claim 17, wherein the step of calculating the capacity utilization comprises the step of calculating said respective workload value, wherein said respective workload value = (last workload value + (number of filled slots)÷(zip group maximum)).

20. The computer process as recited in claim 19, further comprising the step of setting a respective capacity signal when an over capacity condition and an under capacity condition has been detected.

5 21. The computer process as recited in claim 20, comprising the step of setting a respective over capacity flag after determining that the sum of a set of said preselected workload values are greater than a predetermined over capacity value over a historical period.

10 22. The computer process as recited in claim 21, wherein said predetermined over capacity value is about 700 percent and wherein said historical period is the previous ten days and wherein said over capacity value is a workload greater than or equal to 100 percent.

23. The computer process as recited in claim 21, comprising the step of setting a respective under capacity flag after determining that said set of preselected workload values are each less than a predetermined under capacity value over a historical period.

15 24. The computer process as recited in claim 23, wherein said preselected workload value is a workload value less than about 50 percent and wherein said historical period is the previous ten days.

20 25. The computer process as recited in claim 17, further comprising the step of predicting the probability of a future respective used slot being full based on historical over capacity conditions.

26. The computer process as recited in claim 25, wherein the step of predicting the probability of a future respective used slot being full further comprises the steps of:

obtaining the workload values for a predetermined period of time; and

25 determining the probability that the next used slot will meet an over capacity condition using a distribution function;

wherein said over capacity condition is defined as the state when the workload value is greater than or equal to 100 percent.

27. The computer process as recited in claim 17, further comprising the step of predicting whether the trend line of the capacity utilization is changing.

5 28. The computer process as recited in claim 27, wherein the step of predicting future capacity utilization further comprises the step of determining that the trend line of the capacity utilization is increasing when the slope of the regression line for a first fixed period of workload values is greater than zero, within a predetermined confidence interval.

10 29. The computer process as recited in claim 27, wherein the step of predicting future capacity utilization further comprises the step of determining that the trend line of the capacity utilization is decreasing when the slope of the regression line for a first fixed period of workload values is less than zero, within a predetermined confidence interval.

15 30. The computer process as recited in claim 28, wherein said first fixed period is seven days and said predetermined confidence interval is about 95 percent.

31. The computer process as recited in claim 29, wherein said first fixed period is seven days and said predetermined confidence interval is about 95 percent.

20 32. The computer process as recited in claim 17, wherein said specified period of time is thirty days.

33. A method of tracking and predicting the capacity utilization of a goods delivery system, the system having at least one delivery zone, each delivery zone having a capacity utilization matrix comprising a plurality of slots, the goods delivery system providing a respective first potential delivery date based on a selected potential ship

date, a respective order, and the number of slots the respective order will fill, said method of tracking capacity utilization comprising the steps of:

getting a respective zone maximum and a respective number of used slots for a specified period of time within the respective delivery zone;

- 5 determining whether the respective order can be shipped on each day of a set potential ship dates based on the number of available slots, wherein said respective number of available slots is equal to said respective zone maximum minus said respective number of used slots;

wherein said set of potential ship dates includes the respective dates from the selected
10 potential ship date to the first determined potential ship date;

returning an indication of the respective dates that the respective order can be delivered within said set of potential ship dates; and

- 15 updating the respective capacity utilization matrix for the above specified period after the respective order has been included within said respective number of used slots.

34. The method of tracking capacity as recited in claim 33, wherein the step of updating the respective capacity utilization matrix further comprises the step of calculating the workload utilization and storing the result in a workload value for each of said respective slots within the delivery zone.

- 20 35. The method of tracking capacity as recited in claim 33, wherein the step of calculating the capacity utilization comprises the step of calculating said respective workload value, wherein said respective workload value = (last workload value + (number of filled slots)÷(zip group maximum)).

- 25 36. The method of tracking capacity utilization as recited in claim 35, further comprising the step of setting a respective capacity signal when an over capacity condition and an under capacity condition has been detected.

37. The method of tracking capacity utilization as recited in claim 36, comprising the step of setting a respective over capacity flag after determining that the sum of a set of said preselected workload values are greater than a predetermined over capacity value over a historical period.

5 38. The method of tracking capacity utilization as recited in claim 37, wherein said predetermined over capacity value is about 700 percent and wherein said historical period is the previous ten days and wherein said over capacity value is a workload greater than or equal to 100 percent.

10 39. The method of tracking capacity utilization as recited in claim 36, comprising the step of setting a respective under capacity flag after determining that said set of preselected workload values are each less than a predetermined under capacity value over a historical period.

15 40. The method of tracking capacity utilization as recited in claim 39, wherein said preselected workload value is a workload value less than about 50 percent and wherein said historical period is the previous ten days.

20 41. A method of predicting the capacity utilization of a goods delivery system, the system having at least one delivery zone, each delivery zone having a capacity utilization matrix comprising a plurality of slots each slot having an associated workload value, said method of predicting the capacity utilization comprising the steps of:

predicting the probability of a future respective used slot being full based on historical over capacity conditions; and

predicting whether the trend line of the capacity utilization is changing.

25 42. The method of predicting capacity utilization as recited in claim 41, wherein the step of predicting the probability of a future respective used slot being full comprises the steps of :

obtaining the workload values for a predetermined period of time; and

determining the probability that the next used slot will meet an over capacity condition using a distribution function;

5 wherein said over capacity condition is defined as the state when the workload value is greater than or equal to 100 percent.

43. The method of predicting capacity utilization as recited in claim 41, further comprising the step of predicting whether the trend line of the capacity utilization is changing.

10 44. The method of predicting capacity utilization as recited in claim 43, wherein the step of predicting future capacity utilization further comprises the step of determining that the trend line of the capacity utilization is increasing when the slope of a regression line for a first fixed period of workload values is greater than zero, within a predetermined confidence interval.

15 45. The method of predicting capacity utilization as recited in claim 43, wherein the step of predicting future capacity utilization further comprises the step of determining that the trend line of the capacity utilization is decreasing when the slope of a regression line for a first fixed period of workload values is less than zero, within a predetermined confidence interval.

20 46. The method of predicting capacity utilization as recited in claim 44, wherein said first fixed period is seven days and said predetermined confidence interval is about 95 percent.

47. The method of predicting capacity utilization as recited in claim 45, wherein said first fixed period is seven days and said predetermined confidence interval is about 95 percent.

48. The method of predicting capacity utilization as recited in claim 42, wherein said specified period of time is thirty days.

CAPACITY MONITORING PROCESS FOR A GOODS DELIVERY SYSTEM

ABSTRACT OF THE DISCLOSURE

A method for determining capacity utilization and predicting the future capacity utilization of a goods delivery system from a supplier to a buyer utilizing a system having at least one delivery agent, at least one store, at least one manufacturer, and a plurality of buyers, wherein the at least one delivery agent, at least one store, and the at least one manufacturer are coupled to a communications network. The method comprises the steps of searching for the available capacity in each one of a plurality of markets wherein each market has at least one delivery zone, calculating the capacity utilization by day for each zone, setting a flag which is indicative of over capacity or undercapacity, determining the probability that the next day will be over capacity based on historical data, and determining the trend-line of capacity utilization based on historical data.

Figure 1

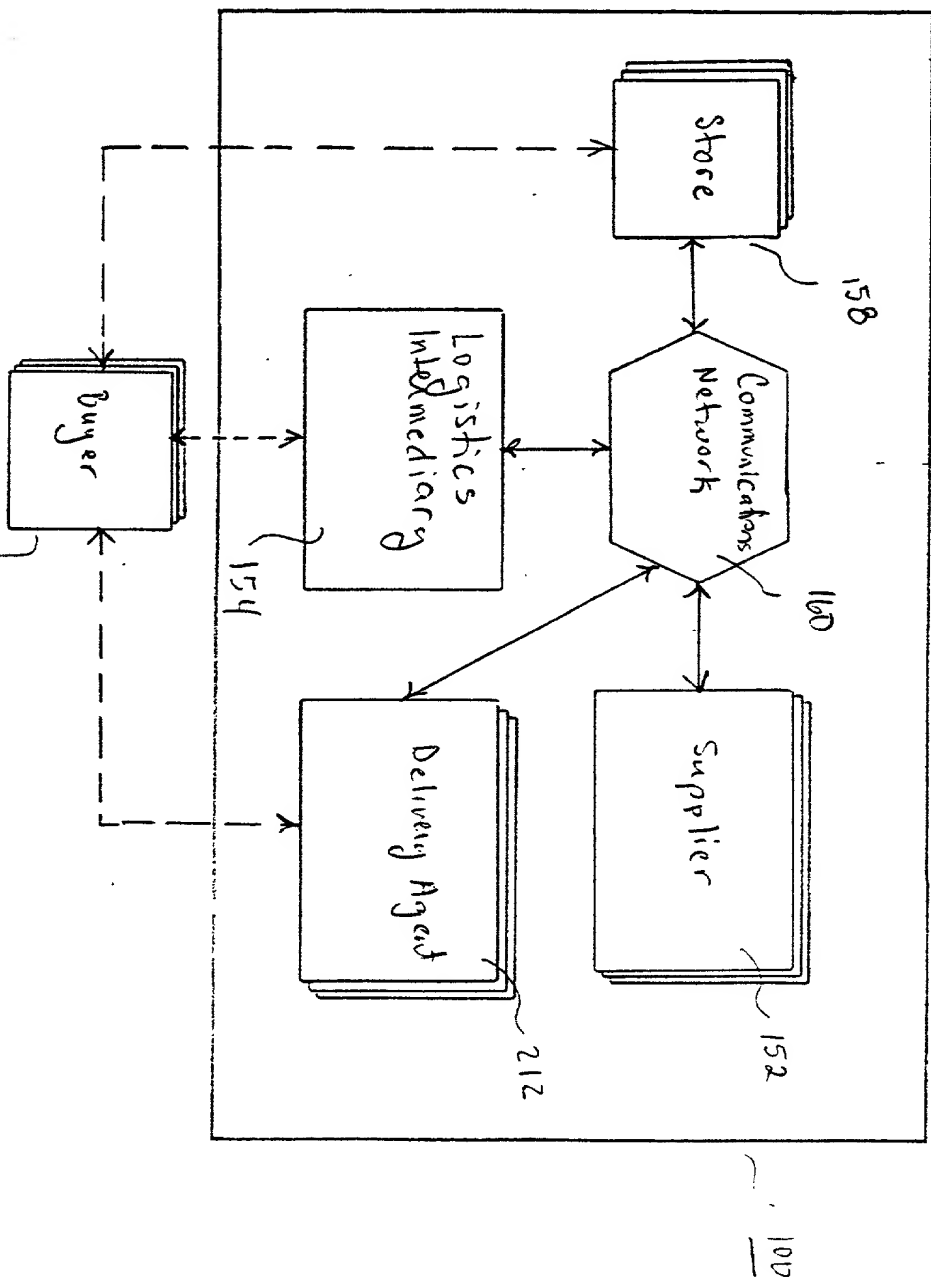
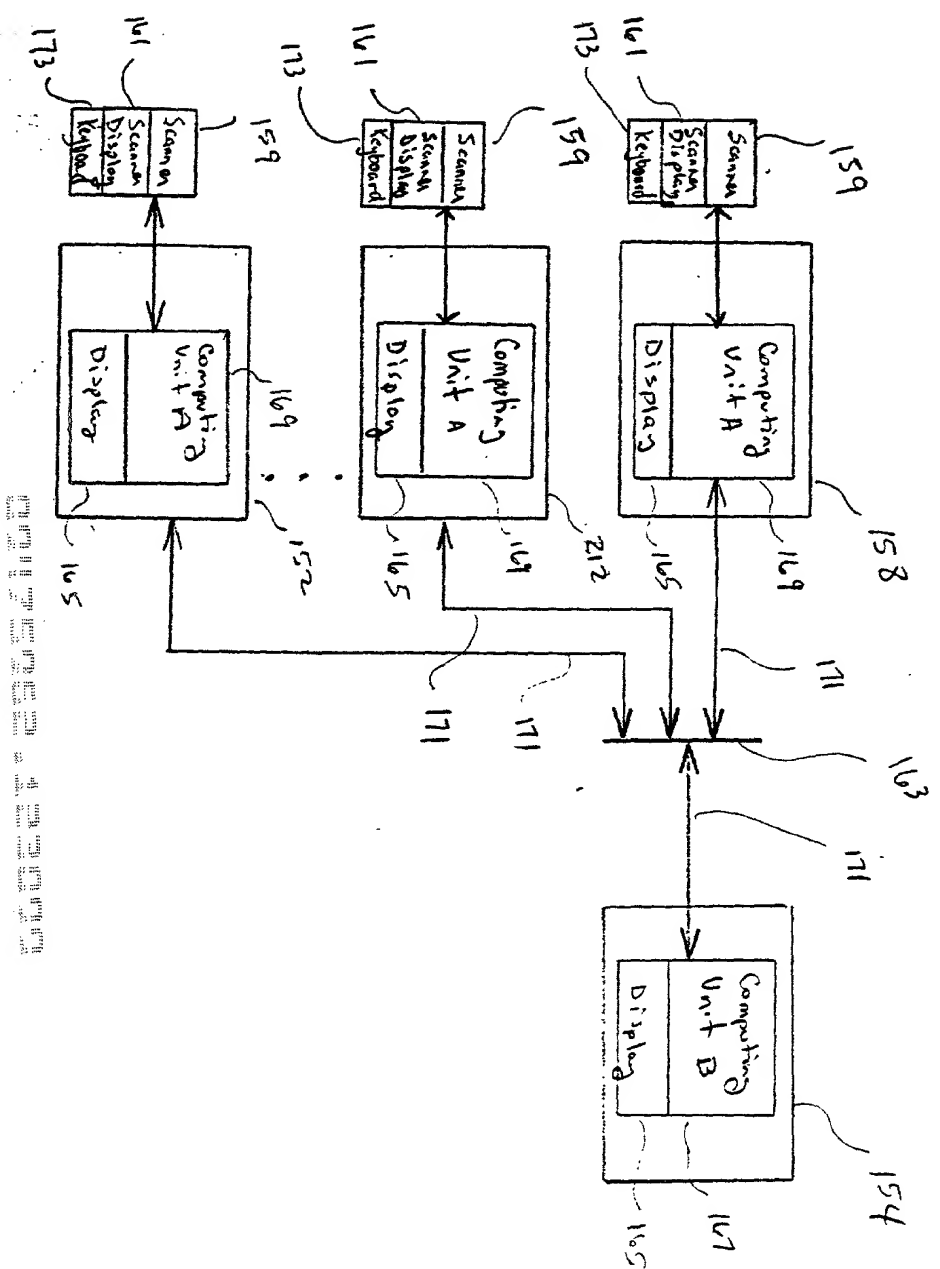


Figure 2



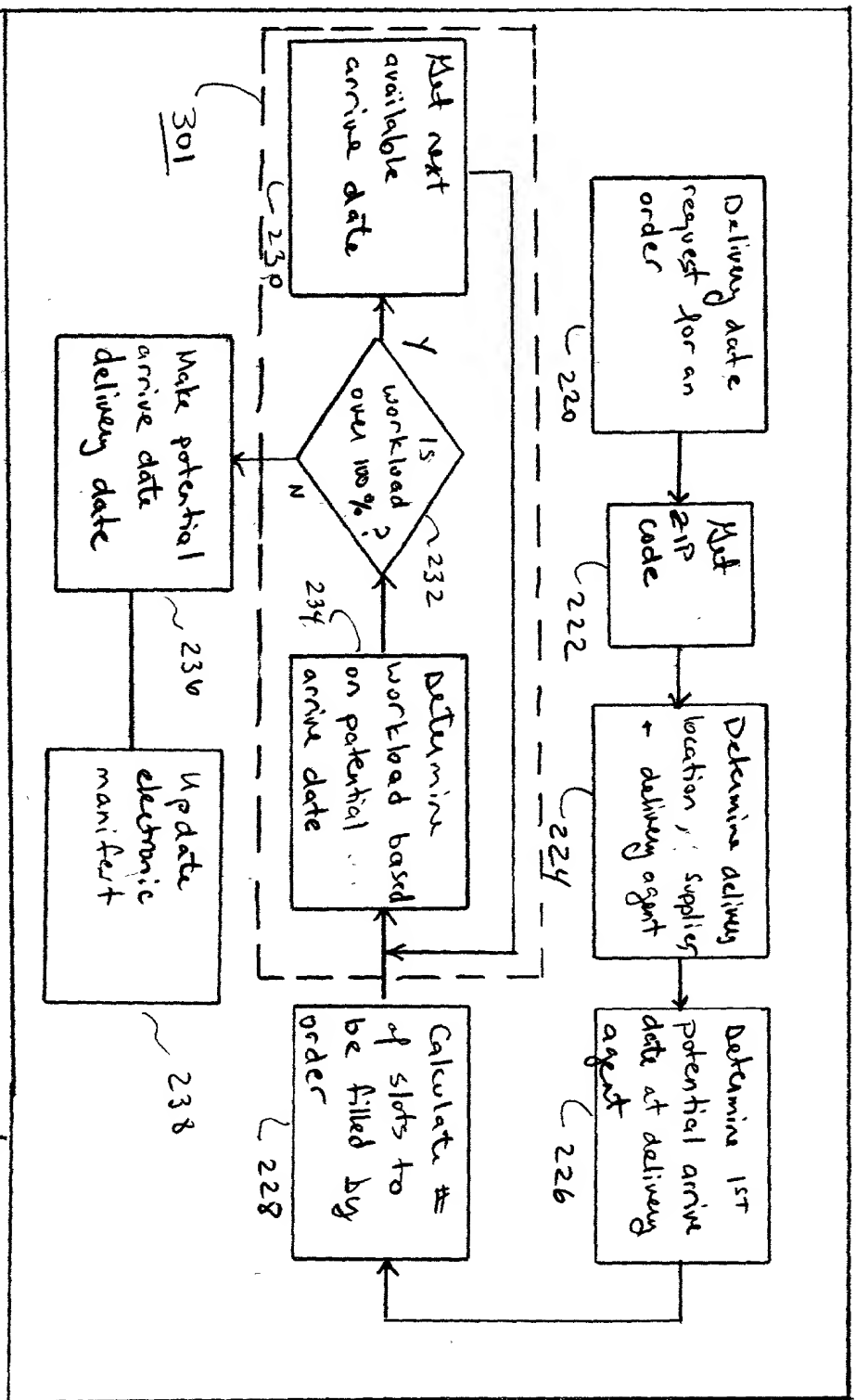
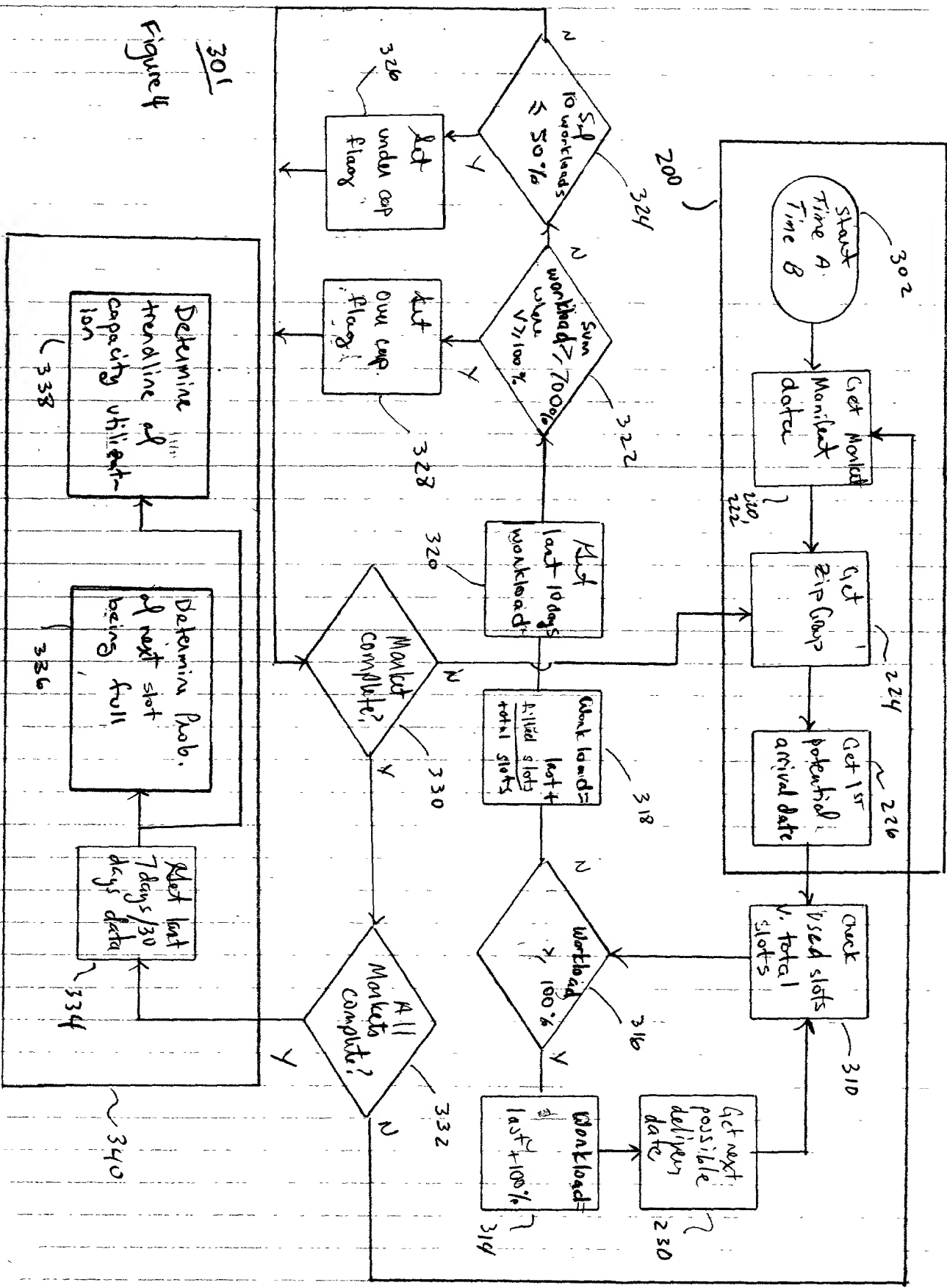


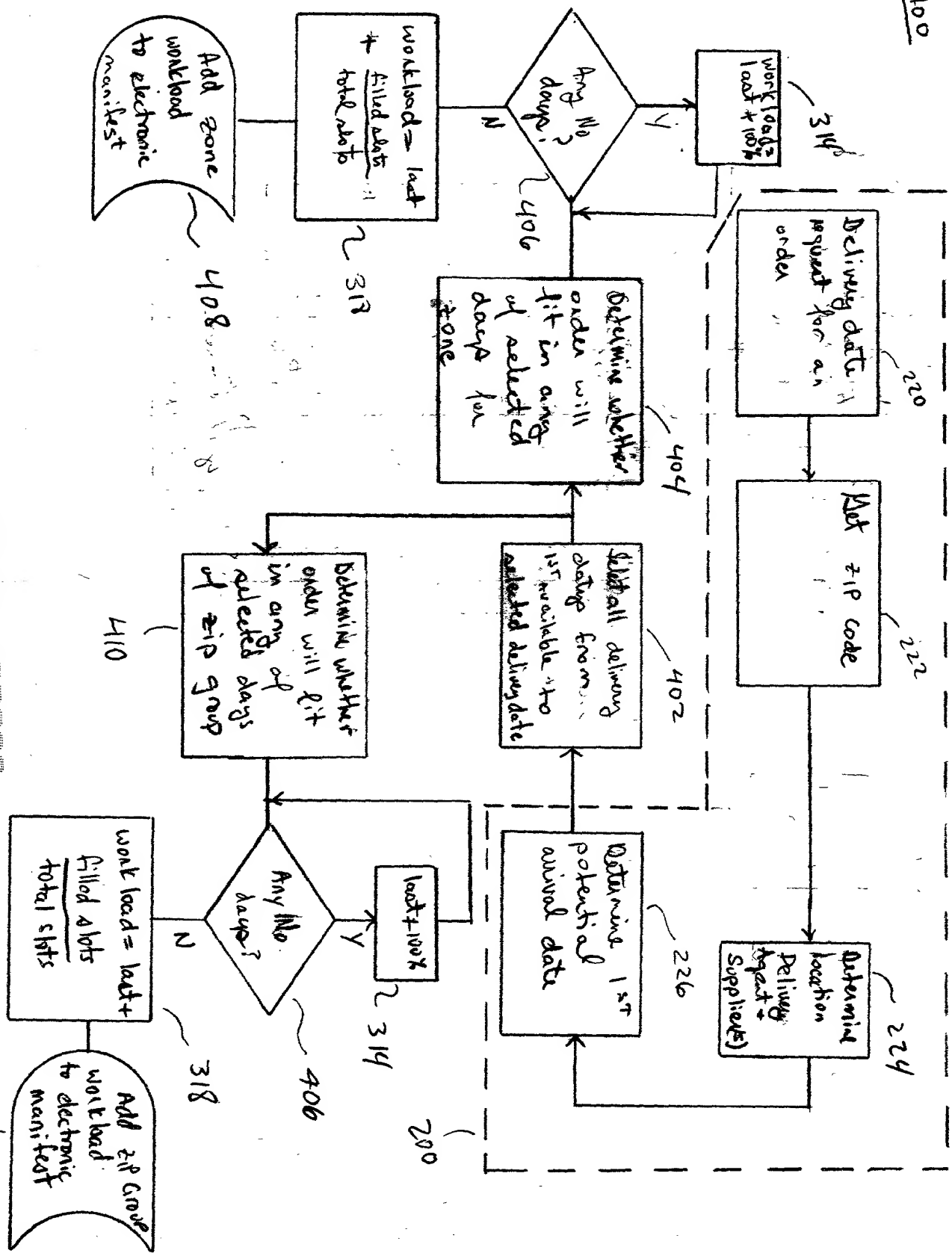
Figure 3



301
Figure 4

400

Figure 5



COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Capacity Monitoring Process for a Goods Delivery System, the specification of which:

(check ☒ is attached hereto.

one) was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby appoint H. Neil Houser, Reg. No. 28,859, Legal Operation, Appliance Park 2-225, Louisville, KY 40225; and George L. Rideout, Jr., Reg. No. 43,880, Legal Operation, Appliance Park 2-225, Louisville, KY 40225, and H.J. Policinski, Reg. No. 26,621, General Electric Company, 3135 Easton Turnpike, Bldg. W3D, Fairfield, CT 06431-0001, jointly, and each of them severally, my attorneys and attorney, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith. I hereby direct that all correspondence and telephone calls in connection with this application be addressed to the said George L. Rideout, Jr., General Electric Company, at Appliance Park 2-225, Louisville, Kentucky 40225; (502) 452-7792 (mailing address) (Telephone No.)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Frank Joseph Pennisi, Jr.

Inventor's signature _____

Date _____

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